

04-03-01 A

<p><b>UTILITY PATENT APPLICATION TRANSMITTAL</b> For new nonprovisional applications under 37 CFR 1.53(b))</p>	Attorney Docket No.	P01,0121
	First Named Inventor or Application Identifier	
	Tony Albrecht et al.	
	Express Mail Label No: # EJ077704690US	

10/20/01  
U.S. PTO  
ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

10/20/01  
U.S. PTO  
J100209024086

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Specification	[Total Pages <u>39</u> ]	5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation)	
2. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	[Total Pages <u>14</u> ]	6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c).	
3. <input checked="" type="checkbox"/> Declaration and Power of Attorney	[Total Pages <u>2</u> ]	7. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )	
a. <input type="checkbox"/> Newly executed (Original copy)		8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 14 completed)</i>		9. <input type="checkbox"/> Preliminary Amendment	
<b>[Note Box 4 Below]</b> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
Incorporation By Reference (usable if Box 3b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		11. <input type="checkbox"/> Small Entity Status (37 CFR 1.27)	
		12. <input type="checkbox"/> Certified Copy of 2 Priority Document(s) German Application No. 100 26 734.3 filed May 30, 2000 and No. 101 18 079.4 filed February 20, 2001	
		13. <input type="checkbox"/> Other. _____	

44. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP)  of prior application No. /

CLAIMS AS FILED					
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
	TOTAL CLAIMS 20	29	9	\$18.00	\$162.00
	INDEPENDENT CLAIMS 3	3			
	ANY MULTIPLE DEPENDENT CLAIMS? ( <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO)				
				TOTAL FILING FEE ->	\$872.00

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501-519. A duplicate copy of this sheet is enclosed.

A check in the amount of \$ 872.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS

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SIGNATURE: *Stan H. Noll 4/28/01*  
JS/VS:BC

DATE: April 2, 2001

U-11

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April 2, 2001

Hon. Assistant Commissioner for Patents  
Washington D.C. 20231

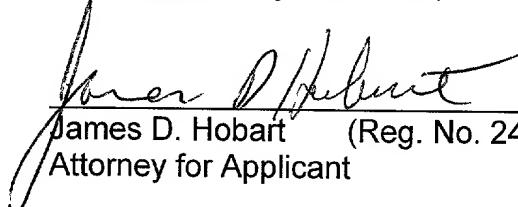
Re: Proposed Patent Application for TONY ALBRECHT, NORBERT LINDER, and JOHANN LUFT entitled "OPTICALLY PUMPED, SURFACE-EMITTING SEMICONDUCTOR LASER DEVICE AND METHOD FOR THE MANUFACTURE THEREOF" Attorney Docket No. P01,0121

SIR:

Under the provisions of 37 CFR § 1.41 (c), I am filing the attached application with 29 claims, 14 sheets of drawings and filing fee on behalf of TONY ALBRECHT, NORBERT LINDER and JOHANN LUFT and request that the application papers be assigned a serial number and filing date.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(f).

Respectfully submitted,

  
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James D. Hobart (Reg. No. 24,149)  
Attorney for Applicant